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PATENT  
Attorney Docket: H0001266

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Newbury, Reg. No. 38,940



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Raymond W. Borden, et al	Art Unit: 2834
Serial No.: 09/834,595	Examiner: Thanh Lam
Filing Date: 04/13/2001	
For: MULTI-POLE HIGH SPEED GENERATOR WITH A MODULAR RECTIFIER CIRCUIT	

**COMMENTARY RE SUPPLEMENTRY INFORMATION DISCLOSURE STATEMENT**

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR §1.56, and in recognition of the duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits a copy of the prior art listed on the attached Information Disclosure Statement (Form PTO-1449). The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

The enclosed references were recently provided to the undersigned in a Search Report issued by the European Patent Office. The Search Report resulted from a PCT application corresponding to the above-referenced U.S. application. It is submitted that the references should be considered relevant since they have been indicated as such by an independent searching authority.

The present Information Disclosure Statement is being filed without a Certification under 37 CFR § 1.97(e) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action or Notice of Allowance (whichever is earlier), and therefore authorization to charge the appropriate fee under 37 CFR §1.17(p) is attached.

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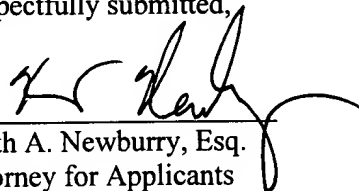
Please consider the references, make such consideration of record on the enclosed Form PTO-1449, and make an independent determination as to whether the claims remain allowable.

Applicant respectfully requests that the disclosed reference be made of record in the subject application.

Respectfully submitted,

Date: \_\_\_\_\_

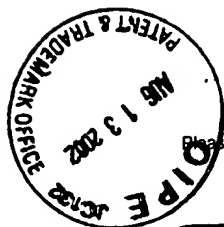
8-1-02

  
\_\_\_\_\_  
Keith A. Newbury, Esq.  
Attorney for Applicants  
Reg. No. 38,980

**Honeywell**  
**Legal Dept. AB2**  
**P.O. Box 2245**  
**Morristown, NJ 07962-9806**  
**(602) 365-4877**

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GP2834/#



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PTO/SB/21 (6-98)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/834,595	
	Filing Date	04/13/2001	
	First Named Inventor	Raymond W. Borden, et al.	
	Group Art Unit	2834	
	Examiner Name	Thanh Lam	
Total Number of Pages in This Submission	28	Attorney Docket Number	H0001266

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below):
Remarks	<b>Enclosed are:</b> - Fee Transmittal; - Commentary Re Supplementary Information Disclosure Statement; - Information Disclosure Statement By Applicant; - PCT International Search Report with 2 references; and - Postcard.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Keith Newbury, Esq., Reg. No. 38,980
Signature	<i>[Signature]</i>
Date	8-1-02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 8-1-02			
Typed or printed name	Keith Newbury, Esq.	Date	8-1-02
Signature	<i>[Signature]</i>		

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# FREE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **180.00**

Complete if Known	
Application Number	09/834,595
Filing Date	04/13/2001
First Named Inventor	Raymond W. Borden, et al.
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METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																													
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: <b>01-1125</b></p> <p>Deposit Account Name: <b>Honeywell (a/k/a Allied Signal)</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Small Entity Fee Code</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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\*\*or number previously paid, if greater: For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print Type)	Keith Newbury	Registration No. (Attorney/Agent)	38,980
Signature		Telephone	602-365-4877
		Date	8-1-02